

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

June 15, 2007

Date

Judith C. Redmarpue Judith E. Redmayne

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

: Michael Katze et al.

Application No. : 10/520,322

Filed

: October 27, 2005

For .

: METHODS AND COMPOSITIONS FOR DIAGNOSING

HEPATOCELLULAR CARCINOMA

Art Unit: : 1641

Docket No. : 55382-23

Date : June 15, 2007

Filing Receipt Corrections Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents:

Attached is a copy of the official Filing Receipt received from the PTO in the above-identified application, for which issuance of a corrected Filing Receipt is respectfully requested.

There is an error with respect to the following data, which is incorrectly entered. There is an error in two of the inventor names, which should read:

> Mariya Smith **Gary Rosenberg**

The corrections to be made have been marked in red on the enclosed copy of the Filing Receipt. A Supplemental Application Data Sheet showing these corrections was submitted on October 24, 2005.

No fee is due.

Respectfully submitted,

Michale Katze et al.

DAVIS WRIGHT TREMAINE/LLP

Βv

Barry L. Dayison

Registration No. 47,309

Enclosure:

Postcard

Form PTO/SB/21

Copy of Filing Receipt showing corrections to be made

1201 Third Avenue, Suite 2200

1501 Fourth Avenue

Seattle, WA 98101-3045

Phone: (206) 622-3150 Facsimile: (206) 757-7700



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United Status Patent and Trademark Office Addm: COMBISIONER FOR PATENTS FO. Box 1450 Alexades, Vegich 22913-1450

APPL NO:	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	TOT CLMS	IND CLIMS
10/520,322	10/27/2005	1641	745	55832-23	16	5

CONFIRMATION NO. 5108

22504 DAVIS WRIGHT TREMAINE, LLP 2600 CENTURY SQUARE 1501 FOURTH AVENUE SEATTLE, WA 98101-1688

RECEIVED

APR 16 2007

FILING RECEIPT

****C0000000023266320***

Date Mailed: 04/11/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filling Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filling Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filling Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filling Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael Katze, Seattle, WA;

Roger Bumgarner, Kenmore, WA;

Mariya

Mariva Smit, Seattle, WA; Gary Resemburg, Seattle, WA;

Rosenberg

Assignment For Published Patent Application

Illumigen Biosciences, Seattle, WA University of Washington, Seattlw, WA EN PROCKET

By: 75 15 Convert inventure Smit's Maril

Power of Attorney: The patent practitioners associated with Customer Number 22504.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US03/20841 07/03/2003 which claims benefit of 60/393,982 07/03/2002

Foreign Applications

If Required, Foreign Filing License Granted: 04/05/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/520,322

Projected Publication Date: 07/12/2007

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Methods and compositions for diagnosing hepatocellular carcinoma

Preliminary Class

435

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filling of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filling of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China. Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING

LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

î . . 🕿

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, If a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

57		Application Number	10/520,322	10/520,322			
TRANSMI		Filing Date	October 27, 2005				
FORM	1	First Named Inventor	Michael Katze	Michael Katze			
		Art Unit	1641				
(to be used for all corresponde	nce after initial filing)	Examiner Name					
Total Number of Pages in This		Attorney Docket Numb	er 55382-23				
	ENCL	OSURES (check all that ap	olv)				
Fee Transmittal Form	☐ Drawing		After Allowance Commu	unication to TC			
Fee Attached	Licensin	g-related Papers	Appeal Communication	to Board			
		,		of Appeals and Interferences			
Amendment / Reply	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final		o Convert to a nal Application	☐ Proprietary Information				
Affidavits/declaration(s		Attorney, Revocation of Correspondence Address	Status Letter				
Extension of Time Reques	t Terminal	Disclaimer	Other Enclosure(s) (please identify below):				
		for Refund					
Express Abandonment Re	quest CD, Nun	nber of CD(s)					
☐ Information Disclosure Sta	tement	☐ Landscape Table on CD					
Certified Copy of Priority Document(s)	Remarks showing co	Remarks Request for Corrected Filing Receipt; copy of Filing Receipt showing corrections to be made; Return Receipt Postcard					
Reply to Missing Parts/							
Incomplete Application							
Reply to Missing Parts under 37 CFR1.52 or	9						
	SIGNATURE OF	APPLICANT, ATTORNE	Y, OR AGENT				
Firm	Davis Wright 1	Davis Wright Tremaine LUT					
Signature		Land W					
Printed Name	Barry L. Davis	Barry L. Davison, Ph.D., J.D.					
Date	June 15, 2007	Re No		47,309			
	CERTIFICA	ATE OF TRANSMISSION	/MAILING				
	as Express mail No. E	V798718129US in an envel	SPTO or deposited with the Unite ope addressed to: Commissioner for				
Signature	Judith E.	Redmarke					
Typed or printed name	Judith E. Redmayne		Date June 15, 200	 7			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.